

**RFP for Selection of vendor for Sanction Screening, STR/CTR/CBWT etc. , Transaction Monitoring and Reporting**

**RFP NO: CO: BFSL/Fraud&Risk RFP/19-20/01**

**RFP Dated 27<sup>th</sup> Dec 2010**

**Addendum 02, Dated 24th Jan 2020**

**1) Below is the clarification on Point no# 3.1 Project Objective:**

**Functional Compliance ( pg. no# 11 )**

**Sr.No.13. -- System’s capabilities to allow the user to define their own thresholds for screening sanction names.**

- System should enable capabilities to an user to create rules/parameters related to a)Sanction Screening b)Transaction Monitoring Viz; Create, Modify, Update, Delete under administrative control tool. BFSL will share Rules and Parameters to selected bidder during the project design.
- System should generate alerts based on rules/parameters applied.
- System should have an ability to integrate with BFSL CCMS and MMS database and generate outputs from AML Software tool based on defined rules/parameters in bidders AML system.

**Sr.No.11.--User should be able to export the results generated of screening through email or PDF or print, with an option to input observations of the user and final remarks.**

- User should be able to export the results generated of screening and transaction reports through email or excel or PDF or print, with an option to input observations of the user and final remarks.

**Sr.No.14--Solution should be User friendly, User screens should be suitable to input the screening names and generate the results.**

- System should have an ability to show STR/CTR/NTR/CWTR on window screens (GUI) for input of data in prescribed formats as per FIU-IND or any other regulatory and generate reports in CSV or XML for further reporting through Utility Tools of FIU-IND or any other regulatory comes into effect.

**Sr. No 15 : pg no# 12 Mandatory Database has been revised kindly refer below listing which should be available with bidder for integration.**

Sr.No.	Mandatory Database
1.	The Unlawful Activities (Prevention) (UAPA) Act, 1967 and amendments thereto
2.	The lists of individuals and entities listed in the resolutions and approved and periodically circulated by the United Nations Security Council (UNSC) from time to time.
3.	UNSCRs circulated by the Reserve Bank in respect of any other jurisdictions/ entities from time to time shall also be taken note of.
3.	The “ISIL (Da’esh) &Al-Qaida Sanctions List”, which includes names of individuals and entities associated with the Al-Qaida. (as amended and updated from time to time)

4.	The "1988 Sanctions List", consisting of individuals (Section A of the consolidated list) and entities (Section B) associated with the Taliban (as amended and updated from time to time)
5.	OFAC

**2) Revision in Eligibility criteria Annexure 1- point no#2: Business Turnover**

The bidder must have a minimum average turnover of Rs.5 crores from the line of business of providing services during the financial year(s) 2016-17, 2017-18, 2018-19

**3) Revision in Eligibility criteria Annexure 1 – point no# 4 :**

The Bidder/OEM proposed solution should have been implemented in at least 3 banks or financial institutions, including at least 2 clients in India (mandatory) in last 5 years, and 1 international or all 3 banks / financial institutions in India.

**4) Extension for BID submission and opening of eligibility & technical bid is proposed as under.**

Kindly refer below details:

Last date & time for submission of Bids	05-02-2020 at 03.00 pm
Date and time of Opening of Eligibility and Technical Bid	05-02-2020 at 03:30 pm

S/d  
Authorized Signatory